

BASELINE SURVEY DATA TEMPLATE

Presented by : Parinthon Veerapattananon/PCU



Refers to wp. 5.1



Regional Scientific and Technical Committee Meeting for the SEAFDEC/UNEP/GEF Project on Establishment and Operation of a Regional System of Fisheries *Refugia* in the South China Sea and Gulf of Thailand, *Trat Province, Thailand 11th – 13th September 2018*



Objective:

"To understand the situation of participating fishing communities and their support institutions towards improved livelihoods and sustainable resources management at the selected Fisheries Refugia sites."





Outputs:

- Status of selected Fisheries *Refugia* species and their life-cycle and habitat linkages;
- Co-management mechanism for sustainable utilization of fisheries resources and coastal habitat management;
- Improved social benefits through the enhancing quality of fishery products and market chains;
- Livelihood enhancement and diversification





Regional Scientific and Technical Committee Meeting for the SEAFDEC/UNEP/GEF Project on Establishment and Operation of a Regional System of Fisheries *Refugia* in the South China Sea and Gulf of Thailand, *Trat Province, Thailand 11th – 13th September 2018*



FOR SOUTH AND SOUTHEAST ASIA (RFLP) - VIET NAM

BASELINE SURVEY

VOLUME 1: BASELINE SURVEY DESIGN AND METHODOLOGIES

Activity 4.1.1: Survey of income and gender situation in target communes Activity 4.1.2: Identification of needs and priorities

> For the Regional Fisheries Livelihoods Programme for South and Southeast Asia – Viet Nam

> > Prepared by

Truong Van Tuyen, Ph.D. Ho Thi Thanh Nga, M.Sc.

Centre for Community Research and Development (CCRD)



Thua Thien Hue, April 2011





REPUBLIC OF THE PHILIPPINES

DEPARTMENT OF ENVIRONMENT AND NATURAL RESOURCES BIODIVERSITY MANAGEMENT BUREAU





Regional Scientific and Technical Committee Meeting for the SEAFDEC/UNEP/GEF Project on Establishment and Operation of a Regional System of Fisheries *Refugia* in the South China Sea and Gulf of Thailand, *Trat Province, Thailand 11th – 13th September 2018*

Questionnaire consists of 7 Parts:

- 1. General information
- 2. Fisheries *Refugia* System and Existing Co-management Approaches
- 3. Safety at Sea and Regulations
- 4. Post-Harvest and Marketing
- 5. Livelihood Enhancement and Diversification
- 6. Fisheries and Management
- 7. Biodiversity and Marine Environment





1. HOUSEHOLD SOCIO-DEMOGRAPHIC PROFILE

Section 1: Household members

1.1 Permanent household members

Name	Sex M=1,F=2 Transgender=3	Age	Marital status	Religion	Relation	Highest education	emplo	rent oyment <i>de e)</i>
(Mark * at respondent name)			(code a)	(code b)	(code c)	(code d)	Primary	Secondary
1.								
2.								
3.								
4.								
5.								
6.								
7.								
8.								
9.								
10.								

Code a 1= Single 2= Married 3= Widowed 4= Separated	Code c 0= respondent 1=Head 2= Wife/Husband 3=Son/Daughter 4= Other relatives 5= Others, specify	Code d 1=none 2=Primary school 3=Junior high school 4=Senior high school 5=Bachelor's degree 6=others, specify	Code e 0=schooling 1=farming/livestock keeping 2=Off-farm wage work-Agriculture 3=Off-farm wage work-Non agriculture 4=Off-farm self employment 5=fishing related activities
Code b 1= Buddhist 2=Muslim 3=Christian 4=Others specify			6=mangrove related activities 7=employed by private or public sector 8=Retired 9=Searching for job 10=Tourisms related activities 11 others, specify

1.2 How many household members left home to seek for employment elsewhere? ______.

No.	Destination (code f)	How many days was/is she/he away?	When did she/he come back last? (months ago)	If she/he is not yet back, what is the expected return date?	What did she/he sent to the households? <i>(code g)</i>	If she/he sent the money in which activities did the household use the money? <i>(code h)</i>

Code f	Code g	Code h
1= another village in the region	1= money	1=farming inputs
2= neighboring region	2=goods	2=fishing inputs
3=any other region	3=others, specify	3=purchase of food
4= capital city		4=education
5= another country		5=others, specify

1.3 How many years are you residing in your area? years.

1.4 If less than five (5) years of residence, indicate place of origin.



Regional Scientific and Technical Committee Meeting for the SEAFDEC/UN Environment/GEF Project on Establishment and Operation of a Regional System of Fisheries *Refugia* in the South China Sea and Gulf of Thailand

11th – 13th September 2018 Centara Chaan Talay Resort & Villas, Trat Province, Thailand

BASELINE SURVEY TEMPLATE

This template is developed to set up a baseline data requirement for "SEAFDEC/UN Environment/GEF Project on Establishment and Operation of a Regional System of Fisheries *Refugia* in the South China Sea and Gulf of Thailand" funded by GEF executed by UN Environment and implemented by SEAFDEC through the Project Coordinating Unit. It covers six countries of Cambodia, Indonesia, Malaysia, Philippines, Thailand and Viet Nam and is planned for 4 years from 2017 to 2020. The aims of this baseline survey are to understand the situation of participating fishing communities and their support institutions towards improved livelihoods and sustainable resources management at the selected Fisheries *Refugia* sites. It is anticipated that project activities based on the assessment from this baseline survey and establishing the system and operation of Fisheries *Refugia* will result in significant positive environmental and social benefits. Specifically, the project will develop the scientific, institutional and policy basis required to reduce the rates of loss of the South China Sea's globally significant habitats and biodiversity due to fishing. The template is therefore designed to cover various issues which is expected to produce 4 outputs including:

- 1) Status of selected Fisheries *Refugia* species and their life-cycle and habitat linkages;
- 2) Co-management mechanism for sustainable utilization of fisheries resources and coastal habitat management;
- 3) Improved social benefits through the enhancing quality of fishery products and market chains;
- 4) Livelihood enhancement and diversification

Part #	Contents	Page
1	General information	2
2	Fisheries Refugia System and Existing Co-management Approaches	2
3	Safety at Sea and Regulations	4
4	Post-Harvest and Marketing	6
5	Livelihood Enhancement and Diversification	8
6	Fisheries and Management	10
7	Biodiversity and Marine Environment	13

The template consisted of 7 Parts as follows:

COUNTRY/ FISHERIES REFUGIA SITE NAME
VILLAGE / COMMUNE / DISTRICT / PROVINCE
DATE
INTERVIEWER

1. GENERAL INFORMATION

1.1. Interviewee name (optional)	
1.2. Age	
1.3. Sex	Male Female
1.4. Classification of household by prosperity	□ Certified poor □ Observed poor □ Not poor
1.5. Classification of Household by main career	 Off shore fishing In shore fishing Inland fishing Aquaculture
1.6. Number of people in Household	
1.7. Number of laborers in Household	
1.8. Number of people in Household working in fisheries	
1.9. Types of fishing boat used by Household (by capacity)	

2. CO-MANAGEMENT/FISHERIES REFUGIA SYSTEM

- A) Understanding and expectations of the concept "Fisheries Refugia System (FRsystem)"
- 2.1. To what extent are you aware of the term "FR-system"? □ Very aware \Box Aware. □ Not aware
- 2.2. What do you think about the overall usefulness of FR-system in fisheries resources management? □ Very useful 🗆 useful □ not useful 🗆 No idea

2.3.	What do you think abou	t the overall usefulne	ss of FR-system in fishe	eries livelihoods
	improvement?			
	🗆 Very useful	🗆 useful	🗆 not useful	🗌 No idea

🗆 Very useful

B) The attitudes and perceptions of fishers to formal and traditional management systems:

- 2.4. What do you think about the effectiveness of formal fishery management systems?□ Very effective□ Effective□ Not effective□ No idea
- 2.5. What do you think about the effectiveness of informal fishery management systems?
 □ Very effective
 □ Effective
 □ Not effective
 □ No idea

C) Practices in current management systems:

2.6. Which member in your family often participate in fishery management activities?□ Male□ Female□ Both□ None

If none go to 2.9.....

- 2.7. To what extent did you participate in fishery management activities?□ Active participation □ Passive participation □ Not participate
- 2.8. During the last six months, did any member in your family attend any fishery management meetings / activities?

 Yes
 No
 Don't know
- 2.9. To what extent are you satisfied with current fishery management activities? □ Very satisfied □ Satisfied □ Not satisfied □ No idea

D) Current systems for conflict resolution in fishery resource management and use:

2.10. What do you think about the effectiveness of the conflict management systems?

	Very effective	Effective	Not effective	No idea
Informal systems				
Formal systems				

E) The perceptions on the state of the fisheries resources and allocation of benefits from fisheries

2.11. What are your opinion about fisheries resources during the last five years?

	Decrease	Increase	Stable	No idea
Productivity of fish				
Diversity of fisheries resources				
Lagoon and sea water pollution				
Fishing capacity				

2.12. What are your opinion about fisheries resources during the next five years?

	Decrease	Increase	Stable	No idea
Productivity of fish				
Catch per unit effort productivity of fisheries resources				
Diversity of fisheries resources				
Lagoon and sea water pollution				
Fishing capacity				

2.13. What do you think about level of the equity in getting benefits from fisheries among different resource user groups?

Not equal

🗆 Equal

🗆 No idea

2.14. Who have more benefits from fishery resources?

Po	oor	Non-poor	The same	No idea
[

Men	Women	The same	No idea	

	Very effective	Effective	Not effective	No idea	
Informal systems					
Formal systems					

3. SAFETY AT SEA AND REGULATION

A) The information on safety at sea that fishers use and the ways to access this

- 3.1. To what extent are you aware of the availability of Safety at sea (SAS) information: □ Very aware Aware □ Not aware
- 3.2. To what extent can you access to information on SAS 🗆 Easy Difficult Not at all
- 3.3. To what extent are you satisfied with the following channels for accessing information on safety at sea?

Information channels	Very satisfied	Satisfied	Not satisfied
Radio			
Coastal communication /			
information station			

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Border Guard Wireless systems		
Television		
Commune broadcast system		
Personal communication		
equipment		

3.4. How useful is the SAS information to your family ?

Type of SAS information	Very useful	Useful	Not useful	No idea

B) Awareness / compliance with safety regulations.

3.5. To what extent are you aware of the following basis safety at sea equipment on boat?

Basis safety equipment	Very aware	Aware	Not aware
Life vest			
Lifebuoy			
Communication equipment			
Locating equipment			
Others			

3.6. Do you and your family members comply with the following regulations on safety at sea?

Regulations on safety at sea	Yes	No	Not required
Shipping safety equipment			
Life vest			
Lifebuoy			
Communication equipment			
Fire fighting equipment			
Location equipment			
Trained staff in safety			
Valid ship driving license			
Valid boat registration certificate			
Valid staff registration certificates			
Engineer			

C) Boat registration:

3.7. During the last 12 months, how many inspections were made with your boat(s)

	Times of random inspection	Times of periodical inspection
Safety equipment inspection		
Technical inspection (engine)		

D) The systems set up to coordinate responses to safety issues and disasters.

3.8. What do you think about the effectiveness of the systems for responding safety issues and disasters?

Very effective

Effective

Ineffective

E) Confidence to avoid accidents at sea

- 3.9. Have you or your family members ever been trained in avoiding and dealing with accidents at sea?
 □ Yes
 □ No
- 3.10. How confident are you to avoid accidents at sea? □ Very confident □ Confident □ Not confident
- 3.11. How confident are you to deal with accidents at sea? □ Very confident □ Confident □ Not confident

4. POST HARVEST AND MARKETING

- A) Post-harvest skills, knowledge and practices.
- 4.1. When are you your fish products applied post-harvest method to maintain their quality?

 On boat

 On land

 On boat and on land
- 4.2. How skillful are you and your family in using the following post-harvest methods

Postharvest methods	Very aware	Aware	Not aware
Using ice			
Using chemicals			
Using salt			
Drying			
Grill			

4.3. To what extent does your family apply the following post-harvest methods to the harvested production ?

Post harvest methods	Fully applied	Partly applied	Not applied
Using ice			
Using chemicals			
Using salt			
Drying			
Grilling			

- 4.4. Have you or your family members attended a training course on post harvest during the last three years?
 - □ Yes □ No

B) Post-harvest facilities available and being used:

4.5. Availability, status and utilization of post-harvest facilities

Post harvest facilities	Availability Status		Frequency of use					
	Yes	No	Working properly	Not working properly	Daily	Some- time	Rarely	never
lce store on boat								
Insulating box								
Cold store								
Fridge								
Oven								
Drying oven								

C) Distribution and use of fish and fisheries products:

4.6. To whom did you sell your fish / fisheries products last year?

Products	Fish frozen factory (%)	Middlemen (%)	Local Processing enterprise	Local market (%)	Others (%)	Don't know
Shrimp						
Crab						
Green crab						
Fish						
Fresh squid						
Dried quid						
Dried fish						
Grilled fish						
Others						

4.7. Where did you sell your fish / fisheries products last year?

Products	Provincial market (%)	National Market (%)	International (%)	Don't know
Shrimp				
Crab				
Green crab				
Fish				
Fresh squid				

Dried quid		
Dried fish		
Grilled fish		
Others		

D) Influence on the market:

4.8. To what level do you depend on middlemen (on price) in trading fish / fisheries products?

Products	High dependent	Dependent	Independent	No idea
Shrimp				
Crab				
Green crab				
Fish				
Fresh squid				
Dried quid				
Dried fish				
Grilled fish				
Others				

- E) Policies and institutions related to post-harvest fisheries:
- 4.9. To what extent are you satisfied with the support of the following institutions on post harvest fisheries?

Institution	Satisfied	Not satisfied	No idea

5. LIVELIHOOD ENHANCEMENT AND DIVERSIFICATION

A) Perception livelihood options

- 5.1. What do you think about the livelihood opportunities for fishing communities compared to five years ago?
 - □ Better □ No change □ Worse □ No idea

B) Annual average income

5.2. Which of the following activities are major sources of livelihood? Please put rank in order of importance. (*Rank the first (1) to be the most important source*)

Activities	Rank of income
Capture fisheries	
Aquaculture	
Agriculture (crop, livestock and forestry)	
Fishery processing	
Coral reefs mining/collection	
Mangroves harvesting	
Seaweed farming	
Laboring	
Trading	
Live stock	
Tourism activities	
Business	
Wages or salaries	
Others, specify	

5.3.	What percentage o	f your income is derive	ed from fishing?	
	🗆 76% - 100%	🗆 50% - 75%	🗆 25% - 50%	Less than 25%

5.4. When you compare the income level of this household to other household in your village would you say that your household is?
Better off

About the same
Worse off

5.5. What are the major problems facing your activities?

Issues	(tick ✓)
1. Lack of credits	
2. Low prices of outputs	
3. High input prices	
4. Inputs unavailability	
5. Poor market networks	
6. Bad health, accident	
7. Degradation of coral reefs	
8. Decrease in mangrove forests	
9. Existence of protected areas	
10. Decreasing in fishes	
11. Lack of infrastructure	
12. Lack of alternative employment	
13. Increase in population in the area	
14. Others, specify	

C) Attitudes towards changing or diversifying livelihoods:

- 5.6. To what extent are you satisfied with your family current livelihoods?
 □ Satisfied
 □ Not satisfied
 □ No idea
- 5.7. Do you want to diversify your family livelihoods?

D) Availability of supporting services for livelihood enhancement and diversification

- 5.8. To what extent are you aware of the supporting services for livelihood enhancement and diversification?
 Very aware
 Aware
 Not aware
- 5.9. To what extent can you access to the following supporting services for livelihood enhancement and diversification?

Supporting services	Easy	Easy Difficult		No idea
Loan / credit				
Technical assistance				
Marketing				
Material supply				

6. FISHERIES AND MANAGEMENT

A) Fisheries

- 6.1. What gear did you use to fish this year?
 □ Longline □ Handline □ Gillnet □ Otter Trawl □ Others, specify_____
- 6.2. Do you change gear type or configuration that you used this year?□ Yes, why______. □ No, why______.

6.3. Fisheries calendar

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
What months of the year do you normally fish?												
What months of the year did you fish this year?												
In your community, among people who fish, which are the HIGH season months?												
Which months are the LOW season months?												
Which months is there almost no fishing?												

6.4. How many years experience do you have in the fishery?

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\Box <5 years	5-10 years	11-15 years	16-20 years.	>20 years
-----------------	------------	-------------	--------------	-----------

6.5. Fishing boat

Name	Motor Code; 1= In board, 2=Out board	Length (meter)	Engine power (horse power)	Construction material Code; 1=wood, 2=fiberglass, 3=steel, 4=others.specify	Price of boat when purchased (USD)	Licensed Code; 1=Yes, 2=No	No. of crew (person)
1.							
2.							
3.							

6.6. Type of fishing gear

Type of fishing gear	No. of fishing gear	No. of fishing day per month	Fishing hours per day	No. of haul per fishing trip	Fishing ground
1.					
2.					
3.					
4.					
5.					

- 6.7. Which habitats do you fish?
 - □ Coastal reef □ Lagoon
 - □ Outer reef (including passages)
- - Pelagic/open ocean
- 6.8. Catch utilization and distribution (per trip)

	Utilization	(%/trip)	Total	Duise	Total	
Fish species	Family consumption	Sale	quantity (kg/trip)	Price (USD/kg)	income (USD/trip)	
1.						
2.						
3.						

6.9. What species do you direct for? (Rank the first (1) to be the most important resource)

Fish Species	Rank
Tunas	
Scads	
Mackerels	

Anchovies	
crabs	
shrimp	
squid	
Others_specify	

6.10. How did your catch rates for the current year compare to **last year**?

	Much higher	Higher	No change	Lower	Much lower	No opinion
Tunas						
Scads						
Mackerels						
Anchovies						
crabs						
shrimp						
squid						
Others_specify						

6.11. How do you relate the current year to the best year you have ever had?

	Much higher	Higher	No change	Lower	Much lower	No opinion
Tunas						
Scads						
Mackerels						
Anchovies						
crabs						
shrimp						
squid						

6.12. How much small fish did you see in your catches this year?

	A lot more small fish than last year	A little more small fish than last year	Same as last year	Fewer small fish from last year	A lot fewer small fish from last year	No opinion
Tunas						
Scads						
Mackerels						
Anchovies						

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crabs			
shrimp			
squid			

6.13. How did the condition of the fish in the current year compare with the previous year?

	Much thinner	Thinner	Unchanged	Fatter	Much fatter	No opinion
Tunas						
Scads						
Mackerels						
Anchovies						
crabs						
shrimp						
squid						

6.14. Have you had by-catch problems in directed fisheries?

	Large problem	Slight problem	No problem	No opinion
Tunas				
Scads				
Mackerels				
Anchovies				
crabs				
shrimp				
squid				

7. **BIODIVERSITY AND MARINE ENVIRONMENT**

A) Knowledge

7.1. Do you know what biodiversity is? □ Yes □ No

□ Not aware of

7.2. If yes, what consists biodiversity?
Animals/microorganism

Trees/plants/forests

Estuaries/coastal area

Rivers/lakes/steams

Caves

7.3.	. What are the roles/importance of the coastal and marine and other ecosystems in your area?						
	 Habitat of various species. Provide foods, livelihood and medicinal benefits to the people. Protect us from extreme/destructive effects of storm surges, waves and currents. Provide recreational, physical and mental benefits, tourism activities, and spiritual activities. Coastal, marine and other ecosystems are interconnected. Economic and environmental benefits. Option/s: 						
7.4.	What are the different ecosystems in your area?Mudflat areasMangrove forestsSalt MarshesLakesCave						
7.5.	 What are the characteristics of our Mangrove Forests Composed of trees and shrubs in salty coastal area Has soft substrate Prop roots, thick and waxy leaves Others, specify 						
7.6.	Does the condition of our Mangrove Forests affects the source of food of various species like mollusks, crustaceans and fishes?						
7.7.	What are the importance of our Mangrove Forests?Natural breakwaterFood source to many organismsProvide refuge to organismsOthers, specify						
7.8.	What are the different factors/activities that affect our Mangrove Forest? Production of charcoal Logging Fishing grounds Others, specify						
7.9.	 What are the characteristics of our Seagrass Beds Entirely immersed in seawater Grow in shallow marine and brackish waters Depth distribution limited by availability of light Others, specify 						
7.10.	Does the condition of our Seagrass Beds affects directly affect fish productivity?YesNoNoNot aware						
7.11.	What are the importance of our Seagrass Beds? Maintenance of biodiversity: provide shelter and food for marine animals Nursery grounds for fish and invertebrates 						

	 Stabilize coastlines and absorb Others, specify 		·
7.12.	What are the different factors/act Tree planting Boat docking	Accidents resultin	
7.13.	What are the characteristics of ou Soft or hard Live, bleached or dead with alga	\Box Extensive or patch	•
7.14.	Does the condition of our Coral Re	-	ect fish productivity?
7.15.	What are the importance of our C Habitat for fishes Reduce strong wave action 	Recreation	
7.16.	What are the different factors/act Dynamite fishing Poaching 	Global warming	oral Reefs?
7.17.	Do you know about ocean/marine	•	Not aware
7.18.	 What are the sources of marine p Runoff from sewage, deforest Sedimentation due to erosion Pathogens from sewage and l Ballast water Toxins (Heavy metals, radioad Oil from cars, heavy machinest Plastics Noise produced by supertank Others, specify 	tation, farming, and oth from mining, farming a ivestock. trive substances, etc.) ry, industry, other land- ers, other large vessels	and coastal dredging. \ based sources. and machinery.
7.19.		•	,
7.20.	Do you have idea about climate cl		Not aware
7.21.	 For you what is climate change? Global warming Caused by human's use of for greenhouse gases into the air 		es carbon dioxide and other

- Shifting weather patterns
- □ Coastal areas are vulnerable to sea level rise, warming of sea/oceans, intensified weather disturbances.
- Low lying coastal communities being highly vulnerable to sea level rise
- Extreme weather conditions such as drought and flooding can compromise terrestrial crops and pressure on coastal and marine resources.
- □ Ocean acidification
- 7.22. Do people directly contribute to climate change and its catastrophic effects?

🗆 Yes 🗆 No □ Not aware

B) Utilization

7.23. Mangrove forests resources, Is your household engages in mangrove harvest related activity?

🗆 Yes □ No, if no go 7.24

7.24. Coral collection and mining, Is your household engages in mangrove harvest related activity? Yes

 \Box No, if no go 7.25

7.25. Please describe current resource condition in following:

	Very good	Good	Neither	Bad	Very bad	
Mangrove						
Coral reefs						
Seagrass beds						
Beaches						
Freshwater						
Upland forest						
Fishery						

7.26. Perceived changes in marine resources. What differences do you see between the present and the past ten (10) years ago with regard to:

Change in:	Better now	Better years ago	No change	Why? (describe)
Fish size				
Fish abundance				
Giant clam abundance				
Sea turtle abundance				
Groupers abundance				
Coral abundance and diversity				
Other organisms				

C) Attitude

((1)Strongly agree \rightarrow Strongly disagee(5))		1	2	3	4	5
7.26.	I feel the need to protect the various ecosystems					
	because it affects my source of livelihood.					
7.27.	I am always willing to help protect the various	_	_	_	_	_
	ecosystems by promoting sustainable use of					
	biodiversity resources.					
7.28.	It is every people in this country obligation and					
	responsibility to protect our country's biodiversity					
	and I have to find ways to do so starting in my					
7.29.	area/community.					
7.29.	I want to show to my family, relatives and friends the					
7.20	ways to conserve and protect our biodiversity.					
7.30.	I decided to be more conscious of my actions so that I	П	П			
	could contribute to increase resilience against the					
7.31.	adverse impacts of climate change.					
7.51.	I will support and participate in local and national government efforts/programs in protecting our	П	П		П	
	biodiversity.					
7.32.	I depend on people who are more knowledgeable in					
7.52.	protecting our biodiversity because they know better.					
7.33.	I tend to disregard critical issues about our					
7.55.	biodiversity because of lack of knowledge.					
7.24.	I do not want to contribute to the country's					
	biodiversity conservation activities because it is not					
	my primary concern.	_	_			
7.25.	I blame other people for floods and other calamities					
	that happening because of their irresponsible actions.					

- 7.26. In the past six (6) months, have you been involved in activities led by the local government in your area?
 - □ Regularly (once a month)
 - □ Occasionally (at least once in the past six months)
 - \Box Never
- 7.27. If regularly or occasionally involved, why have you attended/participated?
 - \Box It is my obligation
 - $\hfill\square$ To learn updates and new information
 - □ To clarify or ask questions
 - □ Others, specify_____.
- 7.28. Which do you think are the top three (3) most effective media for spreading awareness about the status of marine environment in your country?
 - Television
 - □ Newspaper

🗆 Radio

□ Internet-Social media (Facebook, Instagram, Twitter, Youtube, etc.)

Posters and brochures

 \Box Key person from local officials

□ Law enforcers

□ Others, specify_____.

"Thank you for your time and cooperation"